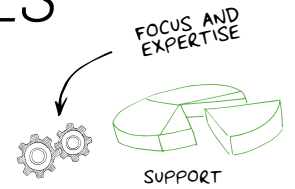


ALPS ALTERNATIVE INVESTMENT SERVICES

FACT SHEET



ABOUT US

ALPS, A DST Company, helps asset managers efficiently manage the complexity of launching, maintaining and growing products amidst a constantly changing environment. Whether you are bringing new products to market or looking for a top-tier quality control environment to help address the needs of your existing products, our comprehensive solutions enable managers to focus their expertise on investing and increasing market share. Along with award-winning client service, advanced technologies, and compliance and distribution support, ALPS offers a customized solution for your retail and private investment products.

MISSION STATEMENT

We are a dynamic financial services firm built on relationships. We have a contagious enthusiasm for our people, our clients, our business partners, and our mutual opportunities for success.

GUIDING PRINCIPLES

We believe that personal relationships are the foundation of our success.

We are committed to "Do Things Right."

We are driven by growth and the challenges and opportunities it presents.

We strive to establish and grow relationships with organizations that share our values.

We promote an open and enthusiastic environment that leads to job satisfaction and career advancement based on merit.

AWARDS

- HFMWeek Awards Winner
Most Innovative Technology Solution 2016
- CTA Intelligence Awards Winner
Best Administrator in Valuation 2014
- HFMWeek Awards Winner
Technology 2013
- Fastest Growing Fund of Funds Administrator
HedgeFund.net Q2/11 Survey

KEY COMPANY INFORMATION

- Office Locations: Denver, Boston, Dallas, Grand Cayman, Miami, New York, San Francisco, Seattle & Toronto
- Number of Employees: 625
- Number of Clients: 550
- Client Assets Serviced: \$575B
- Service Organization Control (SOC 1) Type II examination certified
- Licensed as an Unrestricted Mutual Fund Administrator in the Cayman Islands
- Primary Technology Solutions: Advent Geneva, Advent Partner, FrontGP & ALPS LIVE™
- Complete Paperless Environment

OUR CLIENTS

- Hedge Funds
- Fund of Hedge Funds
- Private Equity Funds
- Fund of Private Equity Funds
- Venture Capital Funds
- Real Estate Funds
- Managed Accounts
- High Net Worth Individuals
- Registered Investment Advisors
- Family Offices
- Investment Managers
- Commodity Pool Operators
- Commodity Trading Advisers

OUR SERVICES

- Start Up Consulting
- Fund Administration
- Middle & Back Office
- Registered Office
- Tax Compliance
- Manager & General Partner Solutions
- Regulatory Compliance & Consulting
- Distribution Services

Learn More
alpsinc.com

DENVER | BOSTON | DALLAS | GRAND CAYMAN | MIAMI | NEW YORK
SAN FRANCISCO | SEATTLE | TORONTO

ALPS
A DST Company

